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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/245,615	02/04/1999	JAMES P. HOFFLER	INVIT1100-1	5087
28213	7590	11/17/2005	EXAMINER	
DLA PIPER RUDNICK GRAY CARY US, LLP			COOK, LISA V	
4365 EXECUTIVE DRIVE			ART UNIT	
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DATE MAILED: 11/17/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 09/245,615	<b>Applicant(s)</b> HOEFFLER ET AL.	
	<b>Examiner</b> Lisa V. Cook	<b>Art Unit</b> 1641	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 21 October 2005.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 31-37, 39, 40, 51, 52, 54-56 and 58-73 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 31-37, 39-40, 51-52, 54-56, and 58-73 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## **DETAILED ACTION**

### ***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 21 October 2005 has been entered.

### ***Amendment Entry***

2. Applicants' response to the final office action mailed 21 April 2005 is acknowledged (Paper filed 10/21/05). In the amendment filed therein claims 1-30, 38, 41-50, 53 and 57 were cancelled. Claims 31, 32, 37, 54, 60 and 63 were modified. New claims 66-73 were added. Currently claims 31-37, 39-40, 51-52, 54-56, and 58-73 are pending and under consideration.

### ***Non-Compliant Amendment***

3. The reply filed on 10/21/05 is not fully responsive to the prior Office Action because of the following omission(s) or matter(s): Claim 37 does not recite the correct status identifier. The claim should be listed as "Currently amended. See 37 CFR 1.111. Appropriate action required. In order to promote compact prosecution the examiner has considered the claim as currently amended.

4. Rejections and/or Objections of record not reiterated below have been withdrawn.

## OBJECTIONS MAINTAINED

### *Drawings*

5. The drawings in this application are objected to by the Draftsperson under 37 CFR 1.84 or 1.152 (see PTO-948). Applicant is required to submit a proposed drawing correction in reply to this office action. However, formal correction of the noted defect can be deferred until the examiner allows the application.

*Applicant has requested that the formal drawings submitted with Applicant's amendment mailed July 2, 2003 in continuation US Serial No. 10/035,368 be utilized for the instant application.*

If the drawings were changed and approved during the prosecution of the prior application, a petition may be filed under 37 CFR 1.182 requesting the transfer of such drawings, provided the parent application has been abandoned.

However, a copy of the drawings as originally filed must be included in the 37 CFR 1.60 application papers to indicate the original content. An approved petition regarding the utility of the drawings in US Serial No.10/035,368 has not been filed. Accordingly the objection is maintained.

## NEW GROUNDS OF REJECTION NECESSITATED BY AMENDMENT

### *Claim Rejections - 35 USC § 103*

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(f) or (g) prior art under 35 U.S.C. 103(a).

I. Claims 37, 55, 56, 58, 59, 63, 64 and 70-73 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shalon et al. (WO 95/35505) in view of Schuh et al. (Journal of Immunological Methods, Vol.152, No.1, 1992, pages 59-67).

Shalon et al. teach microarrays with immobilized reagents. The immobilized reagents include antibodies and antibody fragments that are dispensed on selected array positions. See abstract, page 11 lines 15-24, and page 31 lines 32-35, for example.

The discrete positions on the microarray are spaced apart (spatially addressable) on the solid support. See page 5 line 33, page 6 line 2, page 7 line 26-27. The source (cell line or cell type) of the antibodies at each discrete location is known (claim 55). See page 12 line 32 through page 13 line 2.

In one embodiment the microarray is treated to reduce non-specific binding with a polycationic polymer. See page 7 lines 30-32. The microarray has reagents (antibodies) spotted in discrete positions between 0.01 nanoliters and 100 nanoliters. See page 6 lines 8-10. The microarray also comprises regions from 100 locations per square centimeter to 1000 locations per square centimeter (reading on claim 64 and 73). Page 12 lines 3-9.

Shalon et al. differ from the instant invention in not specifically teaching that the antigen specificity of the antibodies is unknown.

However, Schuh et al. disclose ELISA-microtiter procedures involving the identification of monoclonal antibody specificity (antigen binding) at an early stage. See abstract. The antibodies are absorbed to microtiter wells and incubated with a labeled antigen preparation (such as a biotinylated cell lysate). See page 61 1<sup>st</sup> column. In one embodiment, two results are compared to identify the different cell lysates employed. See page 63 2<sup>nd</sup> column. The method was utilized to characterize monoclonal antibodies against both soluble proteins from mouse CIq, human CIq (antibodies recognizing proteins of a first species), and membrane determinants (like human pan T cells CD5 and CD7).

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The major advantages of the screening technique are (i) the use of non-radioactive label resulting in an easy and time-saving procedure, (ii) the possibility of quantitating the amount of captured and detached antigen by ELISA, (iii) the procedure requires only a minimal amount of antigen, (iv) the procedure can be used with unpurified antibodies of all isotypes, (v) a high signal to noise ratio, and (vi) the possibility of detecting SDS-sensitive epitopes and of using crude antigen preparations. See abstract.

It would have been obvious to one of ordinary skill in the art to employ antibodies with unknown antigen specificity as taught by Schuh et al. in the microarray of Shalon et al. because Schuh et al. taught that this procedure had several advantages, including (i) the use of non-radioactive label resulting in an easy and time-saving procedure, (ii) the possibility of quantitating the amount of captured and detached antigen by ELISA, (iii) the procedure requires only a minimal amount of antigen, (iv) the procedure can be used with unpurified antibodies of all isotypes, (v) a high signal to noise ratio, and (vi) the possibility of detecting SDS-sensitive epitopes and of using crude antigen preparations. See abstract.

One of ordinary skill in the art would have been motivated to test antibodies of unknown antigen specificity in order to rapidly and simply identify antibody specificity at an early stage. See Schuh et al. page 59-60 – Introduction.

II. Claims 39-40 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shalon et al. (WO 95/35505) in view of Schuh et al. (Journal of Immunological Methods, Vol.152, No.1, 1992, pages 59-67) as applied to claims 37, 55, 58, 59, 63, 64 and 70-73 above, and further in view of Ragg and Whitlow (FASEB, Vol.9, January 1995, pages 73-80).

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Please see previous discussion of Shalon et al. (WO 95/35505) in view of Schuh et al. (Journal of Immunological Methods, Vol.152, No.1, 1992, pages 59-67) as set forth above.

Shalon et al. (WO 95/35505) in view of Schuh et al. (Journal of Immunological Methods, Vol.152, No.1, 1992, pages 59-67) differ from the instant invention in not teaching antibody fragments such as single chain/stranded recombinant antibody compositions.

However, Raag and Whitlow disclose single chain recombinant antibody fragments (sFv) consisting of only the variable light chain (VL) and variable heavy chain (VH) domains covalently linked by a polypeptide linker. Because the single chain recombinant antibody fragments are small they have rapid pharmacokinetics and tumor penetration in vivo. See abstract. These single chain recombinant antibody fragments are derived from the antigen-binding domain of antibodies and are useful in any molecular recognition or binding application. See page 74 2<sup>nd</sup> column 2<sup>nd</sup> paragraph. sFv's are disclosed as time reducers in ELISA applications. See page 74 2<sup>nd</sup> column middle of the 3<sup>rd</sup> paragraph.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to use antibody fragments like recombinant single chain/stranded antibodies (sFv) as taught by Raag and Whitlow in the microarray of Shalon et al. (WO 95/35505) in view of Schuh et al. (Journal of Immunological Methods, Vol.152, No.1, 1992, pages 59-67) to produce arrays to perform multiple sample analysis in the rapid detection systems because Raag and Whitlow taught that sFv's were small allowing for rapid penetration (abstract), useful in any antibody application (page 74 2<sup>nd</sup> column 2<sup>nd</sup> paragraph), and reduced time in ELISA procedures page 74 2<sup>nd</sup> column middle of the 3<sup>rd</sup> paragraph.



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III. Claim 65 is rejected under 35 U.S.C. 103(a) as being unpatentable over Shalon et al. (WO 95/35505) in view of Schuh et al. (Journal of Immunological Methods, Vol.152, No.1, 1992, pages 59-67) and further in view of Kohler et al. (Nature, 256, August 7, 1975, pages 495-497).

Please see previous discussion of Shalon et al. (WO 95/35505) in view of Schuh et al. (Journal of Immunological Methods, Vol.152, No.1, 1992, pages 59-67) as set forth above.

Shalon et al. (WO 95/35505) in view of Schuh et al. (Journal of Immunological Methods, Vol.152, No.1, 1992, pages 59-67) differ from the instant invention in not teaching that the source of the antibodies is from a known hybridoma cell line.

However, Kohler et al. teach antibody production from a known hybridoma cell (tissue culture cell lines made from fused myeloma and spleen cells from an immunized donor). Kohler et al. disclose that the production of antibodies via hybridoma is a satisfactory source of monoclonal antibodies of predefined specificity.

The cells are versatile allowing for antibody production from different origins, can be grown in massive quantity, provide specific antibodies, and could prove valuable for medical and industrial utility. Page 495 1<sup>st</sup> paragraph and page 497 2<sup>nd</sup> column last paragraph. The specification teaches that the reference of Kohler et al. teaches hybridoma procedures on page 8 lines 13-19.

It would have been prima facie obvious to one of ordinary skill in the art at the time the invention was made to utilize hybridoma cells to produce antibodies as taught by Kohler et al. in the antibody microarray of Shalon et al. (WO 95/35505) in view of Schuh et al. (Journal of Immunological Methods, Vol.152, No.1, 1992, pages 59-67) because Kohler et al. taught that hybridoma cells are versatile allowing for antibody production from different origins, can be grown in massive quantity, provide specific antibodies, and could prove valuable for medical and industrial utility. Page 495 1<sup>st</sup> paragraph and page 497 2<sup>nd</sup> column last paragraph.

IV. Claims 31-33, 36, 51-52, 54, 60-61 and 67-69 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shalon et al. (WO 95/35505) in view of Schuh et al. (Journal of Immunological Methods, Vol.152, No.1, 1992, pages 59-67) and further in view of Foster et al. (U.S.Patent#4,444,879).

Shalon et al. (WO 95/35505) in view of Schuh et al. (Journal of Immunological Methods, Vol.152, No.1, 1992, pages 59-67) is set forth above. Specifically, Shalon et al. disclose antibodies immobilized on microarrays while Schuh et al. teach the utility of wherein the antigen specificity is unknown with labeled cell lysates.

With respect to newly added claims 67-69, it is noted that Schuh et al. disclose a second reagent (peroxidase (HRP)-labeled avidin) for labeling a biotinylated cell lysate on page 61 2<sup>nd</sup> column. Both avidin and biotin are detectable labels as required by claims 67 and 68. Further, Schuh et al. disclose the use of multiple solid surfaces coated with a plurality of antibodies. These surfaces include microtiter plates, beads, and nitrocellulose membranes. For example, see pages 61-62.

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However, the references fail to teach the reagents as a kit. Kits are well known embodiments for assay reagents. Foster et al. (U.S. Patent #4,444,879) describe one example. In their patent kits including the reactant reagents, a microplate, positive controls, negative controls, standards, and instructions are taught. See figure 6, and column 15, lines 10-34.

It would have been prima facie obvious to one of ordinary skill in the art at the time of applicant's invention to take the detection assay microarray and reagents as taught by Shalon et al. (WO 95/35505) in view of Schuh et al. (Journal of Immunological Methods, Vol.152, No.1, 1992, pages 59-67) and format them into a kit because Foster et al. teach that it is convenient to do so and one can enhance sensitivity of a method by providing reagents as a kit. Further, the reagents in a kit are available in pre-measured amounts, which eliminates the variability that can occur when performing the assay.

V. Claims 34 and 35 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shalon et al. (WO 95/35505) in view of Schuh et al. (Journal of Immunological Methods, Vol.152, No.1, 1992, pages 59-67) and further in view of Foster et al. (U.S. Patent #4,444,879) as applied to claims 31-33, 36, 51-52, 54, 60-61 and 67-69 above, and further in view of Ragg and Whitlow (FASEB, Vol.9, January 1995, pages 73-80).

Shalon et al. (WO 95/35505) in view of Schuh et al. (Journal of Immunological Methods, Vol.152, No.1, 1992, pages 59-67) and further in view of Foster et al. (U.S. Patent #4,444,879) is set forth above.

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Shalon et al. (WO 95/35505) in view of Schuh et al. (Journal of Immunological Methods, Vol.152, No.1, 1992, pages 59-67) and further in view of Foster et al. (U.S.Patent#4,444,879) differ from the instant invention in not teaching antibody fragments such as single chain/stranded recombinant antibody compositions.

However, Raag and Whitlow disclose single chain recombinant antibody fragments (sFv) consisting of only the variable light chain (VL) and variable heavy chain (VH) domains covalently linked by a polypeptide linker.

Because the single chain recombinant antibody fragments are small they have rapid pharmacokinetics and tumor penetration in vivo. See abstract. These single chain recombinant antibody fragments are derived from the antigen-binding domain of antibodies and are useful in any molecular recognition or binding application. See page 74 2<sup>nd</sup> column 2<sup>nd</sup> paragraph.

sFv's are disclosed as time reducers in ELISA applications. See page 74 2<sup>nd</sup> column middle of the 3<sup>rd</sup> paragraph.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to use antibody fragments like recombinant single chain/stranded antibodies (sFv) as taught by Raag and Whitlow in the microarray of Shalon et al. (WO 95/35505) in view of Schuh et al. (Journal of Immunological Methods, Vol.152, No.1, 1992, pages 59-67) and further in view of Foster et al. (U.S.Patent#4,444,879) to produce arrays to perform multiple sample analysis in the rapid detection systems because Raag and Whitlow taught that sFv's were small allowing for rapid penetration (abstract), useful in any antibody application (page 74 2<sup>nd</sup> column 2<sup>nd</sup> paragraph), and reduced time in ELISA procedures page 74 2<sup>nd</sup> column middle of the 3<sup>rd</sup> paragraph.

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VI. Claim 62 is rejected under 35 U.S.C. 103(a) as being unpatentable over Shalon et al. (WO 95/35505) in view of Schuh et al. (Journal of Immunological Methods, Vol.152, No.1, 1992, pages 59-67) and further in view of Foster et al. (U.S.Patent#4,444,879) as applied to claims 31-33, 36, 51-52, 54, 60-61 and 67-69 above, and further in view of Kohler et al. (Nature, 256, August 7, 1975, pages 495-497).

Shalon et al. (WO 95/35505) in view of Schuh et al. (Journal of Immunological Methods, Vol.152, No.1, 1992, pages 59-67) and further in view of Foster et al. (U.S.Patent#4,444,879) is set forth above.

Shalon et al. (WO 95/35505) in view of Schuh et al. (Journal of Immunological Methods, Vol.152, No.1, 1992, pages 59-67) and further in view of Foster et al. (U.S.Patent#4,444,879) differ from the instant invention in not teaching that the source of the antibodies is from a known hybridoma cell line.

However, Kohler et al. teach antibody production from a known hybridoma cell (tissue culture cell lines made from fused myeloma and spleen cells from an immunized donor). Kohler et al. disclose that the production of antibodies via hybridoma is a satisfactory source of monoclonal antibodies of predefined specificity.

The cells are versatile allowing for antibody production from different origins, can be grown in massive quantity, provide specific antibodies, and could prove valuable for medical and industrial utility. Page 495 1<sup>st</sup> paragraph and page 497 2<sup>nd</sup> column last paragraph. The specification teaches that the reference of Kohler et al. teaches hybridoma procedures on page 8 lines 13-19.

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It would have been prima facie obvious to one of ordinary skill in the art at the time the invention was made to utilize hybridoma cells to produce antibodies as taught by Kohler et al. in the antibody microarray of Shalon et al. (WO 95/35505) in view of Schuh et al. (Journal of Immunological Methods, Vol.152, No.1, 1992, pages 59-67) and further in view of Foster et al. (U.S. Patent #4,444,879) because Kohler et al. taught that hybridoma cells are versatile allowing for antibody production from different origins, can be grown in massive quantity, provide specific antibodies, and could prove valuable for medical and industrial utility. Page 495 1<sup>st</sup> paragraph and page 497 2<sup>nd</sup> column last paragraph.

***Response to Arguments***

Applicants contend that the combination of references did not teach antibodies with unknown antigen specificity or cell lysates. These arguments were carefully considered and found persuasive. The reference to Stevenson et al. (biomarker, 1997, 2, 63-65) has been replaced with Schuh et al. (Journal of Immunological Methods, Vol.152, No.1, 1992, pages 59-67) to make obvious the deficiencies noted by Applicant.

7. Papers related to this application may be submitted to Group 1600 by facsimile transmission. Papers should be faxed to Group 1600 via the PTO Fax Center located in Crystal Mall 1. The faxing of such papers must conform to the notice published in the Official Gazette, 1096 OG 30 (November 15, 1989). The Group 1641 – Central Fax number is (571) 273-8300, which is able to receive transmissions 24 hours/day, 7 days/week. In the event Applicant would like to fax an unofficial communication, the Examiner should be contacted for the appropriate Right Fax number.

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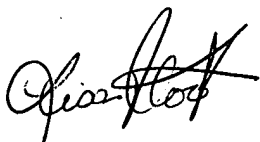
Any inquiry concerning this communication or earlier communications from the examiner should be directed to Lisa V. Cook whose telephone number is (571) 272-0816. The examiner can normally be reached on Monday - Friday from 7:00 AM - 4:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Long Le, can be reached on (571) 272-0823.

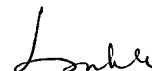
Any inquiry of a general nature or relating to the status of this application should be directed to Group TC 1600 whose telephone number is (571) 272-1600.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR.

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